

09-27-01

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. P1299CIP/CON

First Inventor or Application Identifier Hammond

Title Emergency Relief System

Express Mail Label No. EL564021395US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 17 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09/ 596,157 Mohandesi, J.

Prior application information: Examiner Mohandesi, J.

Group / Art Unit: 3728

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☒ Correspondence address below

|         |                             |           |              |          |              |
|---------|-----------------------------|-----------|--------------|----------|--------------|
| Name    | Michael M. Geoffrey         |           |              |          |              |
|         | 08968                       |           |              |          |              |
|         | PATENT TRADEMARK OFFICE     |           |              |          |              |
| Address | GARDNER, CARTON & DOUGLAS   |           |              |          |              |
|         | 321 North Clark, Suite 3400 |           |              |          |              |
| City    | Chicago                     | State     | IL           | Zip Code | 60610        |
| Country | USA                         | Telephone | 312-644-3000 | Fax      | 312-644-3381 |

|                   |                     |                                   |                    |
|-------------------|---------------------|-----------------------------------|--------------------|
| Name (Print/Type) | Michael M. Geoffrey | Registration No. (Attorney/Agent) | 41,775             |
| Signature         |                     | Date                              | September 26, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office.

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

|                      |                    |
|----------------------|--------------------|
| Application Number   | P1299CIP/CON       |
| Filing Date          | September 26, 2001 |
| First Named Inventor | Hammond            |
| Examiner Name        | Mohandesi, J.      |
| Group / Art Unit     | 3728               |
| Attorney Docket No.  | P1299CIP/CON       |

**TOTAL AMOUNT OF PAYMENT** (\$) 800**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

07-0181

Deposit  
Account  
Name

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17
- 
- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check    ☐ Credit card    ☐ Money Order    ☐ Other
**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid        |
|---------------------|-----------------|----------------|-----------------|------------------------|-----------------|
| 101                 | 710             | 201            | 355             | Utility filing fee     | 355             |
| 106                 | 320             | 206            | 160             | Design filing fee      |                 |
| 107                 | 490             | 207            | 245             | Plant filing fee       |                 |
| 108                 | 710             | 208            | 355             | Reissue filing fee     |                 |
| 114                 | 150             | 214            | 75              | Provisional filing fee |                 |
| <b>SUBTOTAL (1)</b> |                 |                |                 |                        | <b>(\$ 355)</b> |

**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |   |
|--------------------|--------------|----------------|----------|---|
| 10                 | -20** = 0    | X              | 0        |   |
| Independent Claims | 3            | -3** = 0       | X        | 0 |
| Multiple Dependent |              | X              | 0        |   |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102            | 80              | 202            | 40              | Independent claims in excess of 3                          |
| 104            | 270             | 204            | 135             | Multiple dependent claim, if not paid                      |
| 109            | 80              | 209            | 40              | ** Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)**

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 390             | 216            | 195             | Extension for reply within second month                                    |          |
| 117            | 890             | 217            | 445             | Extension for reply within third month                                     | 445      |
| 118            | 1,390           | 218            | 695             | Extension for reply within fourth month                                    |          |
| 128            | 1,890           | 228            | 945             | Extension for reply within fifth month                                     |          |
| 119            | 310             | 219            | 155             | Notice of Appeal   |          |
| 120            | 310             | 220            | 155             | Filing a brief in support of an appeal                                     |          |
| 121            | 270             | 221            | 135             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,240           | 241            | 620             | Petition to revive - unintentional   |          |
| 142            | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |          |
| 143            | 440             | 243            | 220             | Design issue fee   |          |
| 144            | 600             | 244            | 300             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126            | 240             | 126            | 240             | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146            | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149            | 710             | 249            | 355             | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179            | 710             | 279            | 355             | Request for Continued Examination (RCE)                                    |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                  |          |

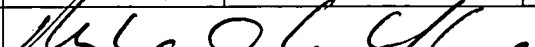
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 445)

**SUBMITTED BY****Complete (if applicable)**

|                   |   |                                  |        |           |                    |
|-------------------|---|----------------------------------|--------|-----------|--------------------|
| Name (Print/Type) | Michael M. Geoffrey   | Registration No. Attorney/Agent) | 41,775 | Telephone | 312-644-3000       |
| Signature         |  |                                  |        | Date      | September 26, 2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**EXPRESS MAILING CERTIFICATE  
UNDER 37 C.F.R. § 1.10**

EL 564 021 395 US  
"Express Mail" Mailing Number

September 26, 2001  
Date of Deposit

I hereby certify that a new patent application is being transmitted herewith including a Transmittal Sheet (1 pg.), a Peition for an Extension of Time (1 pg.), a Fee Sheet (in duplicate), a Specification (20 pgs.) and drawings (10 pgs.), check no. 326314 in the amount of \$800.00, and a return postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above, addressed to:

Box: Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

For: EMERGENCY RELIEF SYSTEM

Our Reference No: P1299CIP/CON

Carol E. Arellano

Typed name of person mailing this  
Certificate of Mailing

Carol E. Arellano  
Signature